

RECEIVED
CENTRAL FAX CENTER

APR 12 2005

KMZ Rosenman
KATTEN MUCHIN ZAVIS ROSENMAN

525 West Monroe Street, Suite 1600
Chicago, IL 60661-3893
312.902.5200 office 312.902.1061 fax

Facsimile

| | | | |
|---|---|-------------------------------------|--------------|
| To Mail Stop Amendment - Commissioner for Patents | Company USPTO | Fax Number (703) 872-9306 | Phone Number |
| Date April 12, 2005 | Client/Matter Number 211748-00016 | | |
| From John S. Paniaguas | Attorney Number 32347 | | |
| Phone 312.902.5312 | Fax 312.577.4532 | | |
| Total number of pages, including cover letter: pages If you do not receive all of the pages, please call: 312.902.5312 11 pages | | | |

Comments

RE: Patent Application No.: 09/833,173
Filing Date: April 11, 2001
Inventor: Jeffrey Jonathan Spurgar, et al.
Title: Content Protection Through the Audio and Video Decrypting and Decoding Device
Confirmation No.: 1523

Please file the attached:
Transmittal Form (1p.)
Fee Transmittal (1p.)
Amendment (5pp.)
Petition for Extension of Time (1 p.) in duplicate
Patent Application Determination Record (1 p.)

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the USPTO addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2005.

Janell A. Reitz
Janell A. Reitz

For Messenger Department Use Only

Important

Your fax has been sent. Attached is your original.

This facsimile transmission contains information intended for the exclusive use of the individual or entity to whom it is addressed and may contain information that is proprietary, privileged, confidential and/or exempt from disclosure under applicable law.

If you are not the intended recipient (or an employee or agent responsible for delivering this facsimile transmission to the intended recipient), you are hereby notified that any copying, disclosure or distribution of this information may be subject to legal restriction or sanction. Please notify the sender by telephone to arrange for the return or destruction of the information and all copies.

Date _____ Time _____
Signature _____

Chicago New York Los Angeles Washington, DC Charlotte Palo Alto Newark www.kmzr.com

A Law Partnership Including Professional Corporations

Doc # CH001 (211748-00016) 50271210v1.04/12/2005/Time: 9:36

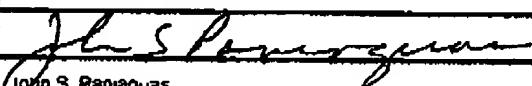
PTO/SB/21 (09-04)


Approved for use through 07/31/2006 OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|--|------------------------|----------------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/833,173 |
| | | Filing Date | April 11, 2001 |
| | | First Named Inventor | Jeffrey Jonathan Spurgat, et al. |
| | | Art Unit | 2135 |
| | | Examiner Name | Garcia, John F. |
| Total Number of Pages in This Submission | | Attorney Docket Number | 11748/16 |

| ENCLOSURES (Check all that apply) | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Patent Application Fee Determination Record |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|---|----------|--------|
| Firm Name | Katten Muchin Zavis Rosenman | | |
| Signature |  | | |
| Printed name | John S. Panaguaras | | |
| Date | 4-12-05 | Reg. No. | 31,051 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|---|---|------|---------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | |
| Signature |  | | |
| Typed or printed name | Janette A. Reitz | Date | 4-12-05 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (12-04-02)

Approved for use through 07/31/2006. OMB 0551-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCEUnder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818)**FEE TRANSMITTAL**
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$510.00

Complete if Known

| | |
|----------------------|---------------------------------|
| Application Number | 09/893,173 |
| Filing Date | April 11, 2001 |
| First Named Inventor | Jeffrey Jonathan Spurgat, et al |
| Examiner Name | Gianola, John F. |
| Art Unit | 2135 |
| Attorney Docket No. | 11748/16 |

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number 50-1214 Deposit Account Name: Katten Muchin
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|----------------------------------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Extra Claims | Fee (\$) |
| - 20 or HP = _____ x _____ = _____ | | |
| HP = highest number of total claims paid for, if greater than 20. | | |
| Indep. Claims | Extra Claims | Fee (\$) |
| - 3 or HP = _____ x _____ = _____ | | |
| HP = highest number of independent claims paid for, if greater than 3. | | |
| | Multiple Dependent Claims | Fee (\$) |
| | | Fee Paid (\$) |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| | | | | |
|---|--------------|--|----------|---------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
| - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____ | | | | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) **Fees Paid (\$)**

Other (e.g., late filing surcharge): Payment for Extension for Time (3-month) **\$510.00**

SUBMITTED BY

| | | | | |
|-------------------|--------------------------|-----------------------------------|---------|--------------------------|
| Signature | <u>John S. Paniaguas</u> | Registration No. (Attorney/Agent) | 31,051 | Telephone (312) 902-5200 |
| Name (Print/Type) | John S. Paniaguas | Date | 4-12-05 | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time may vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Attorney Docket No. 11748/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffrey Jonathan Spurgat, et al.

Serial No.: 09/833,173

Filed: April 11, 2001

Title: Content Protection Through the Audio and
Video Decrypting and Decoding Device

Group Art Unit: 2135

Examiner: Gianola, John F.

Customer No.: 27160

Confirmation No.: 1523

CERTIFICATE OF TRANSMISSIONI hereby certify that this paper is being facsimile transmitted
to:Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450Alexandria, VA 22313-1450, on this date to (703) 872-
9306.

Date

4-12-05 *Danella A. Reitz*
Danella A. Reitz**RECEIVED
CENTRAL FAX CENTER****APR 12 2005****AMENDMENT**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action mailed on October 12, 2004, please enter the following amendment.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.